Filed : September 1, 1998

MASIMO.7CP1C4 PATENT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mohamed K. Diab et al.

Appl. No.

09/144.897

Filed

: September 1, 1998

For

: SIGNAL PROCESSING

APPARATUS

Examiner

: Eric F. Winakur

Group Art Unit : 3768

CERTIFICATE OF EFS WEB TRANSMISSION

I hereby certify that this correspondence, and any other attachment noted on the automated Acknowledgement Receipt, is being transmitted from within the Pacific Time zone to the Commissioner for Patents via the EFS Web server on:



## SUPPLEMENTAL PETITION UNDER 37 C.F.R. §1.181 FOR CORRECTION OF PATENT TERM EXTENSION

### **Mail Stop Petitions**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### Dear Sir:

In accordance with 37 C.F.R §§ 1.701(a)(1) and 1.701(c)(1)(i) and pursuant to 35 U.S.C. § 154(b), Applicants hereby Petition the Director under 37 C.F.R §1.181 to correct the patent term extension for the present application. (See MPEP § 2720.)

### Patent Term Extension of U.S. Patent No. 7,376,453

The present application issued on May 20, 2008 as U.S. Patent No. 7,376,453 with an indicated patent term extension under 35 U.S.C. § 154(b) of 130 days. The present application was subject to an interference proceeding under 35 U.S.C. § 135(a), which delayed issuance. Applicants submit that the indicated patent term extension of 130 days is incorrect because this amount reflects only the amount of delay from the date of the declaration of the Interference to the date of favorable judgment for Applicants. As set forth below, Applicants submit that the indicated 130 days does not account for the entire delay due to interference proceedings under 37 C.F.R. § 1.701. The entire delay should also include the delay from the date of favorable judgment until the date the application was dispatched from the Board of

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Patent Appeals and Interferences ("BPAI") to the Technology Center for Examiner review. Additionally, the entire delay should include delay due to Examiner initiated suspensions due to interference proceedings.

The Applicants Agree that the Patent Term Extension Should Include the Indicated 130 Patent Term Extension Reflects the Delay from the Declaration of Interference to Favorable Judgment

Interference No. 105,472 ("the Interference") was declared involving the present application on July 18, 2006. As evidence of the declaration of interference and the date thereof, Applicants provide herewith Exhibit A, which is a copy of the declaration of interference and notice thereof ("Notice and Declaration of Interference"), which were mailed to Applicants on July 18, 2006. The Notice and Declaration of Interference can be found on the Patent Application Information Retrieval system of the USPTO website ("PAIR"), labeled as entry "Miscellaneous Communication to Applicant – No Action Count" and dated July 18, 2006 on the "Image File Wrapper" tab associated with the present application. (See Exhibit C.)

Applicants received a favorable judgment for Interference No. 105,472 on November 24, 2006. As evidence of the favorable judgment, Applicants provide herewith **Exhibit B**, which is a copy of the judgment, and which can be found on PAIR and is labeled as entry "Interference Miscellaneous" and dated November 24, 2006 on the "Image File Wrapper" tab associated with the present application.

The present application was filed on November 17, 1998 and thus falls under 37 C.F.R. § 1.701 (pertaining to applications filed between 1995 and 2000). The amount of delay from the date the Interference was declared on July 18, 2006 and the date judgment was entered on November 24, 2006 was 130 days. However, as set forth below, Applicants submit that 130 days does not reflect the entire delay due to the interference under 37 C.F.R. § 1.701.

The Patent Term Extension Should Also Include the 393 Day Delay From the Date of Favorable Judgment to the Date of Dispatch to the Technology Center Under 37 C.F.R. § 1.701(c)(1)(i)

Applicants submit that the patent term extension should be adjusted by at least an additional 393 days because, following judgment on November 24, 2006, the

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application was not dispatched from the Board of Patent Appeals and Interferences ("BPAI") to the Technology Center for Examiner review until December 21, 2007. As evidence of the date of dispatch to the Examiner, Applicants provide herewith Exhibit D and Exhibit E, which are copies of the "Patent Term Extension History" tab and the "Transaction History" tab on PAIR and associated with the present application. (See entries labeled "Interference Dispatch to TC" on Exhibit D and Exhibit E, respectively.)

37 C.F.R. § 1.701 reads, in part:

- (a) A patent, other than for designs, issued on an application filed on or after June 8, 1995, is entitled to extension of the patent term if the issuance of the patent was delayed due to:
  - (1) Interference proceedings under 35 U.S.C. 135(a);
- (c)(1) The period of delay under paragraph (a)(1) of this section for an application is the sum of the following periods, to the extent that the periods are not overlapping:
- (i) With respect to each interference in which the application was involved, the number of days, if any, in the period beginning on the date the interference was declared or redeclared to involve the application in the interference and ending on the date that the interference was terminated with respect to the application;

Thus, 37 C.F.R. § 1.701(c)(1)(i) indicates that the time period for patent extension determination "begin[s] on the date the interference was declared ... and end[s] on the date that the interference was terminated." Applicants submit that the Interference was not "terminated" within the meaning of C.F.R. § 1.701(c)(1)(i) until the date the BPAI dispatched the case to the Technology Center for Examiner Review on December 21, 2007. This is evident in light of the wording of 37 C.F.R. § 701(a)(1) which allows for "extension of patent term if the issuance of the patent was delayed *due* to ... (1) Interference proceedings under 35 U.S.C. § 135(a)." 37 C.F.R. § 701 allows for patent term extension for delay caused by interference proceedings, which includes any delay caused by the BPAI in dispatching the case back to the Technology Center for further Examiner review. Former 35 U.S.C. § 154(b), which serves as the basis for 37 C.F.R. § 701, provides further support for this reading. For example, former 35 U.S.C. § 154(b), reads, in part:

### (b) TERM EXTENSION .-

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(1) INTERFERENCE DELAY OR SECRECY ORDERS.-If the issue of an original patent is delayed due to a proceeding under section 135(a) of this title ... the term of the patent shall be extended for the period of delay, but in no case more than 5 years. (See MPEP § 2720.)

Any delay from the time of judgment by the BPAI until the case was dispatched to the Technology Center for Examiner review is a "delay[] due to a proceeding under section 135(a)," and the patent term extension should be adjusted by at least the requested additional 393 days reflecting this delay.

The Patent Term Extension Should Also Include the 247 Day Delay Due to the Examiner Initiated Suspension of Prosecution Due to Interference Proceedings Under 37 C.F.R. § 1.701(c)(1)(ii)

Applicants submit that the patent term extension should be adjusted by at least an additional <u>247 days</u> because of Examiner initiated suspensions due to interference proceedings.

37 C.F.R. § 1.701 reads, in part:

- (a) A patent, other than for designs, issued on an application filed on or after June 8, 1995, is entitled to extension of the patent term if the issuance of the patent was delayed due to:
  - (1) Interference proceedings under 35 U.S.C. 135(a);
- (c)(1) The period of delay under paragraph (a)(1) of this section for an application is the sum of the following periods, to the extent that the periods are not overlapping:
- (ii) The number of days, if any, in the period beginning on the date prosecution in the application was suspended by the Patent and Trademark Office due to interference proceedings under 35 U.S.C. 135(a)  $\dots$

Prosecution of this application was suspended twice by the Examiner due to a potential interference. The first suspension was for a period of six months and began on July 2, 2003. The second suspension was for a period of three months and began on May 16, 2006. As evidence of the suspensions, Applicants provide **Exhibit F** and **Exhibit G**, which are copies of the examiner initiated first and second Letters of Suspension, respectively, and which can be found on the "Image File Wrapper" tab associated with the present application on PAIR labeled as entries "Miscellaneous Action with SSP", dated July 2, 2003, and "Letter of Suspension – Examiner Initiated".

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dated May 16, 2006, respectively. Because the application was "suspended by the Patent and Trademark Office due to interference proceedings under 35 U.S.C. 135(a)" per 37 C.F.R. § 1.701(c)(1)(ii), Applicants submit that the patent term extension should be adjusted to reflect the appropriate 247 delay.

Applicants submit that the entire 184 day delay due to the first suspension should be added to the patent term extension. The second suspension overlapped with the amount of delay due to the eventual interference involving the present application and Applicant submits that, under 37 C.F.R. § 1.701(c), the non-overlapping period of 63 days should also be added to the patent term extension. As such, Applicants submit that the requested additional 247 days reflect the cumulative total of the first suspension and the non-overlapping portion of the second suspension, and should be added to the patent term extension.

### Summary

Applicants submit that the present application was delayed by at least 393 days in addition to the indicated 130 days due to an interference proceeding in which the application was involved. Moreover, the present application was delayed by 247 days due to suspension of prosecution of the application due to interference proceedings. As such, the Applicants submit that the patent term extension should reflect the cumulative total of <u>770 days</u> of delay due to interference proceedings pursuant to 37 C.F.R. § 1.701, not the indicated 130 days of delay, and request that the patent term extension be corrected to reflect at least this 770 day amount.

No fee is deemed due under 37 C.F.R § 1.181 as neither 37 C.F.R. § 1.181 nor 37 C.F.R. §1.701 indicate that a fee is due in conjunction with a Petition under 37 C.F.R §1.181. However, in the event that a fee is due, please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.

Appl. No.

09/144,897

Filed

.

September 1, 1998

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: June 30, 2008 By:

John M. Grover

Registration No. 42,610

Attorney of Record Customer No. 20,995

(949) 760-0404

# **EXHIBIT A**



### UNITED STATES PATENT AND TRADEMARK OFFICE

DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE BOARD OF PATENT APPEALS AND INTERFERENCES BOX INTERFERENCE, WASHINGTON, D.C. 20231

> Filed by: Jameson Lee Telephone: (571) 272-9797 Facsimile: (571) 273-0042

### MAILED

UUL 1 8 2005

PAT. & T.M. OFFICE BOARD OF PATENT APPEALS AND INTERFERENCES Applicants: Diab et al. Application No.: 09/144,897 Filed: 09/01/98

For: Signal Processing Apparatus

The above-identified application or patent has been forwarded to the Board of Patent Appeals and Interferences because it is adjudged to interfere with another application or patent. An interference has been declared. The interference is designated as No. 105,472.

Notice is hereby given the parties of the requirement of the law for filing in the Patent and Trademark Office a copy of any agreement "in connection with or in contemplation of the termination of the interference." 35 U.S.C. § 135(c).

> /ss/ Jameson Lee JAMESON LEE Administrative Patent Judge

Mail Stop Interference P.O. Box 1450 Alexandria Va 22313-1450 Tel: 571-272-9797 Fax: 571-273-0042

Filed: July 18, 2006

### UNITED STATES PATENT AND TRADEMARK OFFICE

## BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

JONATHAN TIEN Junior Party (Patent 5,662,105),

٧.

MOHAMED K. DIAB, ESMAIEL KIANI-AZRBAY JANY, IBRAHIM M. ELFADEL, REX J. MCCARTHY, WALTER M. WEBER and ROBERT A. SMITH Senior Party

MAILED

JUL 1 8 2006

(Application 09/144,897).

Patent Interference No. 105,472 (Technology Center 3700) PAT. & T.M. OFFICE BOARD OF PAYENT APPEALS AND INTERPERENCES

### DECLARATION - Bd.R. 203(d)1

### Part A. Declaration of interference

- 2 An interference is declared (35 U.S.C. § 135(a)) between the above-identified
- 3 parties. Details of the application(s), patent (if any), reissue application (if any),
- 4 count(s) and claims designated as corresponding or as not corresponding to the
- 5 count(s) appear in Parts E and F of this DECLARATION.

6

<sup>&</sup>lt;sup>1</sup> "Bd.R. x" may be used as shorthand for "37 C.F.R. § 41.x". 69 Fed. Reg. 49960, 49961 (12 Aug. 2004).

- 1 Part B. Judge managing the interference
- 2 Administrative Patent Judge Jameson Lee has been designated to manage the
- 3 interference, Bd. R. 104(a).

- 4 Part C. Standing order
- 5 A Trial Section STANDING ORDER [SO] (Paper 2) accompanies this
- 6 DECLARATION. The STANDING ORDER applies to this interference.
- 7 Part D. Initial conference call
- 8 A telephone conference call to discuss the interference is set for 2:00 p.m. on
- 9 September 12, 2006 (the Board will initiate the call).
- 10 No later than four business days prior to the conference call, each party shall
- 11 file and serve (SO ¶¶ 10.1 & 105) a list of the motions (Bd. R. 120; Bd. R. 204;
- 12 SO ¶¶ 104.2.1, 120 & 204) the party intends to file.
- 13 A sample schedule for taking action during the motion phase appears as Form 2
- 14 in the STANDING ORDER. Counsel are encouraged to discuss the schedule prior to
- 15 the conference call and to agree on dates for taking action. A typical motion period
- 16 lasts approximately eight (8) months. Counsel should be prepared to justify any
- 17 request for a shorter or longer period.

1	1 Part E. Identification and ord	Part E. Identification and order of the parties		
2	2	Junior Party		
3 4		athan Tien, Redmond, WA		
5 6 7	bas	32,105, granted September 2, 1997 ed on application 08/442,834, filed ∙ 17, 1995		
8 9		tem and method for the extractment of siological signals		
10	O Assignee: Spa	ceLabs Medical, Inc.		
11	1	Senior Party		
12 13 14 15 16 17	S Esn 4 Ibra 5 Rex 6 Wal 7 Rob	named K. Diab, Laguna Niguel, CA naiel Kiani-Azarbay Jany, Laguna Niguel, CA him M. Elfadel, Laguna Niguel, CA J. McCarthy, Mission Viejo, CA ter M. Weber, Los Angeles, CA ert A. Smith, Corona, CA		
18 19		144,897, filed September 1, 1998		
20 21		nal processing apparatus		
22		nerica Bank-California		
23	The senior party is assigned ex	chibit numbers 1001-1999. The junior party is		
24	4 assigned exhibit numbers 2001-2999.	Bd. R. 154(c)(1); SO $\P$ 154.2.1. The senior party		
25	is responsible for initiating settlement discussions. SO $\P$ 126.1.			

1	Part F. Count and claims of the parties		
2	Count 1		
3 4	Claim 10 of Tien's Patent	5,662,105	
5 6 7	Claim 22 of Diab's Applica	ation 09/144,897	
8	The claims of the p	arties are:	
9	Tien:	1-20	
10	Diab:	15-30	
11	The claims of the p	parties which correspond to Count 1 are:	
12	Tien:	1-20	
13	Diab:	15-30	
14	The claims of the p	parties which do not correspond to Count 1, and therefore are	
15	not involved in the interfer	rence, are:	
16	Tien:	None	
17	Diab:	None	
18			
19	The parties are acc	corded the following benefit for Count 1:	
20 21	Tien:	None	
22 23 24 25	Diab:	Application 08/859,837, filed May 16, 1997 Application 08/320,154, filed October 7, 1994 Application 08/132,812, filed October 6, 1993	

1	Part G. Heading to be used on papers
2	The following heading must be used on all papers filed in this interference, see
3	SO ¶ 106.1.1:
4	
5	UNITED STATES PATENT AND TRADEMARK OFFICE
6 7	The state of the s
7	
8	BEFORE THE BOARD OF PATENT APPEALS
9	AND INTERFERENCES
10	<u> </u>
11	JONATHAN TIEN
12 13	Junior Party
14	(Patent 5,662,105),
15	(1 atent 0,002,100),
16	V.
17	••
18	MOHAMED K. DIAB. ESMAIEL KIANI-AZRBAY JANY.
19	IBRAHIM M. ELFADEL, REX J. MCCARTHY,
20	WALTER M. WEBER and ROBERT A. SMITH
21	Senior Party
22 23	(Application 09/144,897).
23	
24	Patent Interference No. 105,472
25	(Technology Center 3700)
26	Marie J. July J. Co. Marie Co.
27	
28	

### 1 Part H. Order form for requesting file copies

- When requesting copies of files, use of SO Form 4 will greatly expedite
- 3 processing of the request. Please attach a copy of Parts E and F of this
- 4 DECLARATION with a hand-drawn circle around the patents and applications for which
- 5 a copy of a file wrapper is requested.

/ss/Jameson Lee Administrative Patent Judge

Enc:

Copy of STANDING ORDER Copy U.S. Patent 5,662,105 Copy of claims of Application 09/144,897

Revised 3 January 2006

cc (via overnight delivery):

Attorney for TIEN:

Michael J. Donohue SEED AND BERRY 6300 Columbia Center 701 Fifth Avenue Seattle, WA 98104-7092

Tel: 206-622-4900

Attorney for DIAB:

John M. Grover KNOBBE MARTENS OLSON & BEAR LLP 2040 Main Street Fourteenth Floor Irvin, CA 92614

Tel: 949-760-0404

## **EXHIBIT B**

Filed by: Trial Section Merits Panel Mail Stop INTERFERENCE Board of Patent Appeals and Interferences United States Patent and Trademark Office P.O. Box 1450 Alexandria, Virginia 22313-1450 Tel: 571-272-9797 Fax: 571-272-0043 Paper No. 29 Entered: November 24, 2006

#### UNITED STATES PATENT AND TRADEMARK OFFICE

## BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

JONATHAN TIEN Junior Party (Patent 5,662,105)<sup>1</sup>

.

MOHAMED K. DIAB, ESMAIEL KIANI-AZRBAY JANY, IBRAHIM M. ELFADEL, REX J. McCARTHY, WALTER M. WEBER and ROBERT A. SMITH Senior Party (Application 09/144,897)<sup>2</sup>

Patent Interference No. 105,472

Before LEE, LANE and MOORE, Administrative Patent Judge.

LEE, Administrative Patent Judge.

2

Judgment - Request for Adverse -- Bd. R. 127(b)

Based on Application 08/442,834, filed May 17, 1995. The real party in interest is SpaceLabs Medical, Inc., SpaceLabs Healthcare, Inc., and OSI Systems, Inc.

Filed September 1, 1998. The real party in interest is Masimo Corporation and Comerica Bank-California. Accorded the benefit of Application 08/859,837, filed May 16, 1997; Application 08/320,154, filed October 7, 1994; and Application 08/13,2812, filed October 6, 1993.

Interference No. 105,472 Tien v. Diab

- 1 On October 31, 2006, junior party conceded priority and requested entry of adverse
- 2 judgment with respect to the subject matter of Count 1. (Paper No. 28) In a telephone
- 3 conference call held on November 14, 2006, counsel for party Tien indicated that Tien is no
- 4 longer interested in filing a motion for no interference-in-fact and a motion to designate its
- 5 claims 1-9, 13 and 16 as not corresponding to the count. The request is granted.
- 6 It is
- 7 ORDERED that judgment on priority as to the subject matter of Count 1 is herein
- 8 entered against junior party JONATHAN TIEN;
- 9 FURTHER ORDERED that junior party JONATHAN TIEN is not entitled to claims
- 10 1-20 of its involved Patent No. 5,662,105;
- 11 FURTHER ORDERED that if there is a settlement agreement, the parties should note
- 12 the requirements of 35 U.S.C. § 135(c) and Bd. Rule 205; and
- 13 FURTHER ORDERED that a copy of this judgment be placed in the respective
- 14 involved application or patent of the parties.

/ss/ Jameson Lee JAMESON LEE Administrative Patent Judge

/ss/ Sally G. Lane SALLY G. LANE Administrative Patent Judge

/ss/ James T. Moore JAMES T. MOORE Administrative Patent Judge Interference No. 105,472 Tien v. Diab

By Electronic Transmission:

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## **EXHIBIT C**



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03-06-2006

02-24-2006

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ARTIFACT

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Mail Room Date # Document

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Description 4

Patent Official Gazette

\*! Search Fatents & Application
-!! Search Biological Sequences
-! Cooles, Products & Services

1			List of References	
	03-11-2008	1449	cited by applicant and considered by examiner	PRIOR ART
	01-12-2008	OA.EMAIL	Email Notification	PROSECUTION
	01-10-2008	NOA	Notice of Allowance and Fees Due (PTOL-85)	PROSECUTION
	01-10-2008	NOA	Notice of Allowance and Fees Due (PTOL-85)	PROSECUTION
	01-10-2008	BIB	Bibliographic Data Sheet	PROSECUTION
	01-10-2008	IIFW	Issue Information including classification, examiner, name, claim, renumbering, etc.	PROSECUTION
	01-10-2008	FWCLM	Index of Claims Search information	PROSECUTION
	01-10-2008	SRFW	including classification, databases and other search related notes	PROSECUTION
	01-07-2008	WFEE	Fee Worksheet (PTO-06)	PROSECUTION
	12-24-2007	SRNT	Examiner's search strategy and results	PROSECUTION
	11-24-2006	INT.MISC	Interference Miscellaneous	PROSECUTION
	07-18-2006	M327	Miscellaneous Communication to Applicant - No Action Count	PROSECUTION

Petition Decision Letter of Suspension

- Examiner Initiated List of References cited by applicant

and considered by examiner

has been filed which cannot be scanned

**NPL Documents** 

Artifact sheet indicating an item

02-24-2006	PET.SPRE	Petition for review by the Technology	PROSECUTION
		Center SPRE.	
02-21-2006	A.QU	Response after Ex Parte Quayle Action	PROSECUTION
02-21-2006	CLM	Claims	PROSECUTION
02-21-2006	REM	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION
02-21-2006	TRTC	Transmittal to TC Information	PROSECUTION
02-21-2006	IDS	Disclosure Statement (IDS) Filed	PROSECUTION
02-21-2006	NPL	NPL Documents	PRIOR ART
09-19-2005	CTEQ	Ex Parte Quayle Action	PROSECUTION
09-19-2005	1449	List of References cited by applicant and considered by examiner Search information	PRIOR ART
09-19-2005	SRFW	including classification, databases and other search related notes Information	PROSECUTION
08-31-2005	IDS	Disclosure Statement (IDS) Filed	PROSECUTION
08-31-2005	NPL	NPL Documents	PRIOR ART
08-31-2005	NPL	NPL Documents	PRIOR ART
08-31-2005	NPL	NPL Documents	PRIOR ART
08-31-2005	NPL		PRIOR ART
		NPL Documents	
08-31-2005	NPL	NPL Documents	PRIOR ART
08-31-2005	NPL	NPL Documents	PRIOR ART
07-15-2005	PETDEC	Petition Decision Petition for review	PROSECUTION
06-17-2005	PET.OP	by the Office of Petitions.	PROSECUTION
06-17-2005	LET.	Miscellaneous Incoming Letter Information	PROSECUTION
06-17-2005	IDS	Disclosure Statement (IDS) Filed	PROSECUTION
06-03-2005	NPL	NPL Documents	PRIOR ART
06-03-2005	NPL	NPL Documents	PRIOR ART
06-03-2005	NPL	NPL Documents	PRIOR ART
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01-10-2008 Mail Notice of Allowance 01-10-2008 Mail Examiner's Amendment 01-07-2007 Document Verification

01-07-2008 Notice of Allowance Data Verification Completed 01-07-2008 Case Docketed to Examiner in GAU

01-07-2008 Examiner's Amendment Communication 12-21-2007 Interference dispatch to TC 11-24-2006 Mail Interference Decision - Favorable

11-24-2006 Interference Decision on Priority - Favorable 07-18-2006 Declaration of Interference

05-15-2006 Interference Communication: Initial Memo Disposal 05-16-2006 Mail Letter of Suspension 05-15-2006 Letter of Suspension - Examiner Initiated

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03-06-2006 Information Disclosure Statement (IDS) Filed 03-06-2006 Information Disclosure Statement (IDS) Filed 03-16-2006 Date Forwarded to Examiner 03-06-2006 Supplemental Response

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11-01-1999	Information Disclosure Statement (IDS) Filed
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11-05-1998	Case Docketed to Examiner in GAU
09-01-1998	Preliminary Amendment
09-24-1998	Application Dispatched from OIPE
09-15-1998	IFW Scan & PACR Auto Security Review
09-04-1998	Initial Exam Team nn
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Date Transaction Description 01-13-2008 Electronic Review

01-12-2008 Fmail Notification 01-12-2008 Email Notification

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11-05-1998 Case Docketed to Examiner in GAU

09-01-1998 Preliminary Amendment

09-24-1998 Application Dispatched from OIPE

09-15-1998 IFW Scan & PACR Auto Security Review

09-04-1998 Initial Exam Team on

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# **EXHIBIT F**



### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS FOR 1459

			www.copro.gov	
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/144,897	09/01/1998	MOHAMED K. DIAB	MASIMO.7CP1C	5325
20995 7.	590 07/02/2003			
	ARTENS OLSON & B	EXAMINER WINAKUR, ERIC FRANK		
2040 MAIN ST FOURTEENTI	I FLOOR			
IRVINE, CA 92614			ART UNIT	PAPER NUMBER
			3736 DATE MAILED: 07/02/2003	10

Please find below and/or attached an Office communication concerning this application or proceeding.



FILING DATE

## UNITED STATES DEPARTMENT OF COMMERCE

U.S. Patent and Tramark Office P.O. Box 1450

Address: COMMISSIONER FOR PATENTS Alexandria, Virginia 22313-1450

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FIRST NAMED INVENTOR /

ART UNIT PAPER 6

ATTORNEY DOCKET NO

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All claims are allowable. The prior art teaches methods and apparatus for enhancing physiological signals for the measurement of blood oxygen in a subject including irradiating a patient with two wavelenghts and detecting light that has passed through the patient, wherein the detected light includes a interference portions. However, the prior art does not teach or suggest a method or apparatus that uses an adaptive signal processor and reference signal generator to process the detected signals and a peak detector to receive an output signal from the adaptive signal processor and determine a calculated value, as set forth in the claims.

However, due to a potential interference, ex parte prosecution is SUSPENDED FOR A PERIOD OF 6 MONTHS from the date of this letter. Upon expiration of the period of suspension, applicant should make an inquiry as to the status of the application.

Attachments: Information Disclosure Statements (PTO-1449) -- Papers 3, 4

Eric F Winakur Primary Examiner Art Unit: 3736

APPLICATION NO /

# **EXHIBIT G**



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

APPLICATION NO.	F.	ILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO	
09/144.897		09/01/1998	MOHAMED K. DIAB	MASIMO.7CP1C	5325	
20995	7590	05/16/2006		EXAM	EXAMINER	
KNOBBE MARTENS OLSON & BEAR LLP			WINAKUR,	WINAKUR, ERIC FRANK		
2040 MAIN						
FOURTEENTH FLOOR			ART UNIT	PAPER NUMBER		
IRVINE, CA 92614			3768			

DATE MAILED: 05/16/2006

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	APPLICATION NO./	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION	ATTORNEY DOCKET NO.

EXAMINER

ART UNIT PAPER 200605121

DATE MAILED:

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Commissioner for Patents

All claims are allowable. However, due to a potential interference, ex parte prosecution is SUSPENDED FOR A PERIOD OF 3 MONTHS from the date of this letter. Upon expiration of the period of suspension, applicant should make an inquiry as to the status of the application.

Attachment: Copies of 1449 from IDS filed 2/21/06 and 2/24/06

Eric F Winakur Primary Examiner Art Unit: 3768

Vm/